

# Category $\mathcal{O}$ : Methods

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## 1 Extensions in Category $\mathcal{O}$

### Proposition 1

Let  $\lambda, \mu \in \mathfrak{h}^*$ . Then

- (a) Let  $M$  be a highest weight module of weight  $\mu$  with  $\lambda \geq \mu$  or  $\lambda$  is not comparable to  $\mu$ . Then  $\text{Ext}_{\mathcal{O}}^1(M(\lambda), M) = 0$ . In particular

$$\text{Ext}_{\mathcal{O}}^1(M(\lambda), L(\lambda)) = \text{Ext}_{\mathcal{O}}^1(M(\lambda), M(\lambda)) = 0, \quad \text{Ext}_{\mathcal{O}}^1(M(\lambda), L(\mu)) = 0$$

- (b) If  $\lambda > \mu$  and  $N(\lambda)$  is the maximal submodule of  $M(\lambda)$ , then

$$\text{Hom}_{\mathcal{O}}(N(\lambda), L(\mu)) \cong \text{Ext}_{\mathcal{O}}^1(L(\lambda), L(\mu))$$

- (c)  $\text{Ext}_{\mathcal{O}}^1(L(\lambda), L(\lambda)) = 0$

*Proof.* (a) Recall there is a bijection of sets  $\text{Ext}_{\mathcal{O}}^1(M(\lambda), M)$  with equivalence classes of SES

$$0 \rightarrow M \rightarrow E \xrightarrow{\pi} M(\lambda) \rightarrow 0$$

where  $E \in \mathcal{O}$ . It therefore suffices to show any such sequence splits. Let  $v_\lambda \in M(\lambda)_\lambda$  be the h.w. vector. Let  $\tilde{v}_\lambda$  be any lift of  $v_\lambda$  under  $\pi$ ; we claim  $\tilde{v}_\lambda$  is a h.w. vector of weight  $\lambda$  in  $E$ . Notice this will give us the required splitting as the universal property of  $M(\lambda)$  will give us a map  $\varphi : M(\lambda) \rightarrow E$  sending  $v_\lambda \mapsto \tilde{v}_\lambda$  and you can check that this map has to be injective (Use PBW basis in  $M(\lambda)$ ). Because  $E \in \mathcal{O}$ , it is  $\mathfrak{h}$ -semisimple, and thus we can write  $\tilde{v}_\lambda$  as a sum of weight vectors  $\tilde{v}_\lambda = \sum_{i=1}^n a_i v_i$  where  $v_i \in E_{\gamma_i}$ . Since  $\pi$  is a  $\mathfrak{g}$  module morphism we have that  $\pi(E_\gamma) \subseteq M(\lambda)_\gamma$  for  $\gamma \in \mathfrak{h}^*$  and thus

$$M(\lambda)_\lambda \ni v_\lambda = \pi(\tilde{v}_\lambda) = \sum_{i=1}^n a_i \pi(v_i) \in M(\lambda)_{\gamma_1} \oplus \dots \oplus M(\lambda)_{\gamma_n}$$

But the weight space decomposition of  $M(\lambda)$  is a direct sum decomposition and thus  $\gamma_i = \lambda$  for all  $i$ . Thus we see that  $\tilde{v}_\lambda \in E_\lambda$ .

Because  $\pi(e_i \cdot \tilde{v}_\lambda) = e_i \cdot \pi(\tilde{v}_\lambda) = e_i \cdot v_\lambda = 0$  we have that  $e_i \cdot \tilde{v}_\lambda \in M$ . However this means that  $\lambda + \alpha_i$  is a weight of  $M$ , and since  $M$  is h.w. of weight  $\mu$ , this means that

$$\lambda + \alpha_i = \mu - \sum k_j \alpha_j \implies \mu - \lambda = \sum k_j^* \alpha_j, \quad k_j^* \in \mathbb{Z}^{\geq 0}$$

where  $k_j^* \in \mathbb{Z}^{\geq 1}$ , aka  $\lambda < \mu$  which is contrary to assumption. It follows that we have  $e_i \cdot \tilde{v}_\lambda = 0$  and thus  $\tilde{v}_\lambda$  is a h.w. vector of weight  $\lambda$  as desired.

- (b) From the SES

$$0 \rightarrow N(\lambda) \rightarrow M(\lambda) \rightarrow L(\lambda) \rightarrow 0$$

we get the LES in cohomology

$$\dots \rightarrow \mathrm{Hom}_{\mathcal{O}}(M(\lambda), L(\mu)) \rightarrow \mathrm{Hom}_{\mathcal{O}}(N(\lambda), L(\mu)) \rightarrow \mathrm{Ext}_{\mathcal{O}}^1(L(\lambda), L(\mu)) \rightarrow \mathrm{Ext}_{\mathcal{O}}^1(M(\lambda), L(\mu)) \rightarrow \dots$$

$\mathrm{Hom}_{\mathcal{O}}(M(\lambda), L(\mu)) = 0$  because  $\lambda > \mu$  so there's nowhere for the h.w. vector in  $M(\lambda)$  to go but zero as the image of a h.w. vector of weight  $\lambda$  under a  $\mathfrak{g}$ -module morphism is a h.w. vector of weight  $\lambda$ . Since  $\lambda > \mu$ ,  $\mathrm{Ext}_{\mathcal{O}}^1(M(\lambda), L(\mu)) = 0$  by part (a) so this completes the proof.

(c) Replace  $\mu$  with  $\lambda$  above. The last term is still zero by part (a) so it suffices to show  $\mathrm{Hom}_{\mathcal{O}}(N(\lambda), L(\lambda)) = 0$ . Because  $L(\lambda)$  is simple, any nonzero map  $\phi : N(\lambda) \rightarrow L(\lambda)$  is surjective. But as  $N(\lambda) \in \mathcal{O}$ , the same argument in (a) shows that any lift of  $v_\lambda$ , say  $\tilde{v}_\lambda \in N(\lambda)_\lambda$ . But by the construction of  $N(\lambda)$ ,  $N(\lambda)_\lambda = 0$  and thus  $\phi$  can't be surjective and thus has to be the zero map.

**Warning.** Category  $\mathcal{O}$  is not closed under extensions. In fact it's not even closed under extensions of Verma modules. Consider  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C})$  and  $\lambda \in \mathfrak{h}^*$ . Let  $N$  be the 2-dimensional  $U(\mathfrak{b})$  module where

$$e \cdot v = 0 \quad \forall v \in N, \quad h \leftrightarrow \begin{pmatrix} \lambda & 1 \\ 0 & \lambda \end{pmatrix}$$

Let  $M := U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} N$ . Then we then have an exact sequence

$$0 \rightarrow M(\lambda) \rightarrow M \xrightarrow{\pi} M(\lambda) \rightarrow 0$$

that is not split! This amounts to checking that any element in  $\pi^{-1}(v_\lambda)$  is not a h.w. vector of weight  $\lambda$ . Thus  $M \notin \mathcal{O}$  as otherwise this sequence would split.

## 2 Duality in Category $\mathcal{O}$

The natural choice for a duality functor is to send each module  $M \mapsto M^*$  where the action of  $U(\mathfrak{g})$  on  $M^*$  is given by

$$(x \cdot f)(m) := -f(x \cdot m)$$

coming from the antipode in the hopf algebra  $U(\mathfrak{g})$ . However recall that for  $M$  is an infinite-dimensional module, then  $M^*$  is an even larger infinite-dimensional module and thus has no chance to be in  $\mathcal{O}$ . However recall that weight spaces of  $M$  are f.d. Thus our first try for a duality functor will be

**Definition 2.1** (Try 1).

$$M^{*\vee} := \bigoplus_{\lambda} (M_{\lambda})^*$$

where the action of  $U(\mathfrak{g})$  on  $(M_{\lambda})^*$  is given above.

Now will  $M^{*\vee} \in \mathcal{O}$ ? A quick computation shows that the answer is NO.

**Example 1.** Let  $\mathfrak{g} = \mathfrak{sl}_2$  and consider  $M = M(2)$ . Let  $v_k = f^k v_0 / k! \in M_{2-2k}$  where  $v_0$  is the h.w. vector.

[Draw usual picture of actions here]

Let  $\varphi_k = v_k^*$  be the dual basis vector to  $v_k$ . Now compute that

$$(e \cdot \varphi_3)(v) = -\varphi_3(e \cdot v)$$

Since  $\varphi_3$  is only nonzero on the weight space  $M_{-4}$ , it follows we must have  $v \in M_{-6}$  in order for the number above to be nonzero. In particular we see that

$$(e \cdot \varphi_3)(v_4) = -\varphi_3(e \cdot v_4) = -\varphi_3((-1)v_3) = 1 \implies e \cdot \varphi_3 = \varphi_4$$

Iterating this process shows that  $e^k \varphi_2 = k! \varphi_{2+k}$  and in particular is never 0 and thus not locally  $U(\mathfrak{n})$  finite.

However the example above tells us what we should do to achieve locally  $U(\mathfrak{n})$  finiteness; we should interchange the actions of  $e$  and  $f$ . Now because  $(g \cdot h \cdot f)(v) = f(h \cdot g \cdot v)$ , in order to obtain a new left  $\mathfrak{g}$ -module structure on  $V^*$  we need to precompose with a lie algebra anti-automorphism<sup>1</sup> of  $\mathfrak{g}$  instead of a lie algebra automorphism. As such we define

**Definition 2.2.** Consider the lie algebra anti-automorphism  $\tau$  of  $\mathfrak{g}$  given by sending  $e_\alpha \mapsto f_\alpha$ ,  $f_\alpha \mapsto e_\alpha$  and fixing  $h_\alpha$ <sup>2</sup>. Then define the twisted action of  $\mathfrak{g}$  on  $M^*$  by

$$(x \cdot_\tau f)(m) := f(\tau(x) \cdot m)$$

From now on we will just write  $x \cdot f = x \cdot_\tau f$ .

**Definition 2.3.** Let  $M$  be a  $U(\mathfrak{g})$  module which is  $\mathfrak{h}$  semisimple with f.d. weight spaces. Then the (BGG) dual of  $M$  is defined as a set by

$$M^\vee = \bigoplus_{\lambda \in \mathfrak{h}^*} (M_\lambda)^*$$

where the  $\mathfrak{g}$  module structure on  $(M_\lambda)^*$  is given by the twisted  $\tau$  action above.

**Lemma 2.4.** Let  $M$  satisfy the conditions above. Then

$$(1) (M^\vee)_\lambda = (M_\lambda)^*$$

$$(2) \text{ch}(M^\vee) = \text{ch}(M)$$

$$(3) L(\lambda)^\vee = L(\lambda)$$

*Proof.* (1) Because  $M = \bigoplus_{\mu \in \mathfrak{h}^*} M_\mu$ , we always have an exact sequence of  $\mathbb{C}$  vector spaces

$$0 \rightarrow \bigoplus_{\lambda \neq \mu \in \mathfrak{h}^*} M_\mu \rightarrow M \rightarrow M_\lambda \rightarrow 0$$

So dualizing as vector spaces gives

$$0 \rightarrow (M_\lambda)^* \rightarrow M^* \rightarrow \left( \bigoplus_{\lambda \neq \mu \in \mathfrak{h}^*} M_\mu \right)^* \rightarrow 0$$

This means that we can identify

$$(M_\lambda)^* = \{f \in M^* \mid f|_{M_\mu} = 0 \ \forall \mu \neq \lambda\}$$

<sup>1</sup> $\varphi([x, y]) = [\varphi(y), \varphi(x)] = -[\varphi(x), \varphi(y)]$

<sup>2</sup>For matrix lie algebras this is just taking the transpose.

Now given  $f \in (M_\lambda)^*$  since it vanishes outside of  $M_\lambda$ , it's completely determined by what it does on  $M_\lambda$ . But for  $v \in M_\lambda$  we have

$$(h \cdot f)(v) := f(\tau(h) \cdot v) = f(h \cdot v) = f(\lambda(h)v) = \lambda(h)f(v) \quad \forall h \in \mathfrak{h}$$

In other words  $(M_\lambda)^* \subseteq (M^\vee)_\lambda$ . Conversely, if  $f \in (M^\vee)_\lambda$  and  $f|_{M_\mu} \neq 0$  the same calculation above shows that on  $M_\mu$ ,  $h \cdot f = \mu(h)f$  contrary to assumption and thus we have  $(M^\vee)_\lambda \subseteq (M_\lambda)^*$ .

(2) is a direct consequence of (1) as  $\dim V = \dim V^*$  for  $V$  f.d.

(3) is a direct consequence of (2) as f.d. modules of  $\mathfrak{g}$  are completely determined by their characters. Edit: only works for  $\lambda$  integral dominant. Instead use that  $^\vee$  is an exact contravariant functor (see below) so that if  $M$  is not simple, then  $M^\vee$  is not simple, as

$$0 \rightarrow A \rightarrow M \rightarrow M/A \rightarrow 0 \implies 0 \rightarrow (M/A)^\vee \rightarrow M^\vee \rightarrow A^\vee \rightarrow 0$$

It follows that since  $(L(\lambda)^\vee)^\vee = L(\lambda)$  is simple, so is  $L(\lambda)^\vee$ . One then checks that  $v_\lambda^*$  is a h.w. vector in  $L(\lambda)^\vee$  of weight  $\lambda$  where  $v_\lambda$  is a h.w. vector in  $L(\lambda)$  and since there is a unique simple module of h.w.  $\lambda$  we have  $L(\lambda)^\vee \cong L(\lambda)$ .

### Theorem 2

The BGG dual  $^\vee$  satisfies

- (a)  $^\vee$  is an exact (contravariant) functor on the category of  $\mathfrak{g}$  modules which are  $\mathfrak{h}$  semisimple with f.d. weight spaces.
- (b)  $^\vee$  descends to a functor  $^\vee : \mathcal{O} \rightarrow \mathcal{O}$  such that  $M \mapsto M^{\vee\vee}$  is isomorphic to the identity functor.
- (c) For any  $M \in \mathcal{O}$  and any central character  $\chi$ ,  $(M^\vee)^\chi \cong (M^\chi)^\vee$ . In particular  $^\vee$  descends to a functor  $^\vee : \mathcal{O}_\chi \rightarrow \mathcal{O}_\chi$ .
- (d) Let  $M, N \in \mathcal{O}$ . Then  $(M \oplus N)^\vee = M^\vee \oplus N^\vee$ . Thus  $M$  indecomposable  $\implies M^\vee$  indecomposable.
- (e)  $\text{Ext}_{\mathcal{O}}^1(M, N) = \text{Ext}_{\mathcal{O}}^1(N^\vee, M^\vee)$ .

*Proof.* (a) As soon as you check  $^\vee$  is a functor you are done because in the category of vector spaces  $M_\lambda \mapsto M_\lambda^*$  is exact.

(b) Let  $\phi \in M_\lambda^\vee$ . Note that  $e_\alpha \cdot \phi \in M_{\lambda+\alpha}^*$ . This is because for any  $\mathfrak{g}$  module  $N$ , we have  $e_\alpha \cdot N_\lambda \subseteq N_{\lambda+\alpha}$ . Apply this to  $N = M^\vee$  and by [Lemma 2.4](#) we have that  $(M^\vee)_{\lambda+\alpha} = M_{\lambda+\alpha}^*$ .

Now suppose  $M \in \mathcal{O}$ .  $M^\vee$  will then have a weight decomposition given by dualizing each  $M_\lambda$  by [Lemma 2.4](#) and so is  $\mathfrak{h}$  semisimple. Because the set of weights of  $M$  is contained the union of the cones  $\cup_i^n \mu_i - \Gamma_i$  [Draw picture], it follows that  $M_{\mu+\sum k_i \alpha_i} = 0$  for  $k_i \gg 0 \implies M_{\mu+\sum k_i \alpha_i}^\vee = 0$  for  $k_i \gg 0$ . By above we showed that each  $e_\alpha$  takes us to a higher weight space and thus  $U(\mathfrak{n}) \cdot v = 0$  for any  $v$ . Finally to show that  $M^\vee$  is f.g as a  $U(\mathfrak{g})$  module it suffices to show it has finite length as recall that given

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

$A, C$  f.g.  $\implies B$  f.g. and the “base case”  $L(\mu)$  is clearly f.g. as a  $U(\mathfrak{g})$  module. Consider the last step of a composition series for  $M$

$$0 \rightarrow N \rightarrow M \rightarrow L(\mu) \rightarrow 0$$

Applying the functor  ${}^\vee$  yields

$$0 \rightarrow L(\mu)^\vee \rightarrow M^\vee \rightarrow N^\vee \rightarrow 0$$

But by [Lemma 2.4](#)  $L(\mu)^\vee = L(\mu)$  and more importantly simple so this gives us the start of a composition series for  $M^\vee$ . Now repeat the same procedure but with  $N$ . Because  $\mathcal{O}$  has finite length, we see this procedure eventually stops and we will have produced a finite composition series for  $M^\vee$  as desired. (In fact given a fixed composition series for  $M$ , this process gives us a composition series for  $M^\vee$  whose factors are the same as our fixed composition series for  $M$ , but the order of the factors are reversed!).

(c) – (e) Left to the reader.

## 2.1 Duals of H.W. modules

**Example 2** (Dual Verma modules in  $\mathfrak{sl}_2$ ). Consider our previous example with  $M(2)$ . Recall that our twisted action was specifically designed so that  $e$  still raises weights by 2 instead of lowering by 2. Thus in terms of arrows, our picture of  $M(2)^\vee$  is exactly the same as  $M(2)$ .

[Draw  $M(2)$  here]                      [Draw  $M(2)^\vee$  here]

However the scalars by which  $e, f$  move  $v_i$  change. Essentially to get  $e$  to move say  $v_{-3}^*$  up, we need to use the actual action of  $f$  on  $v_{-2}$  and therefore the scalar by which we move up by is exactly 3 and in general we have [picture]. Using this perspective one can see why  $L(2)$  is self dual; when we cut off  $M(2)$  by the maximal submodule, the scalars by which we move up by in  $L(2)^\vee$  is exactly the same as in  $L(2)$  but in reverse order. This symmetry allows us to define an isomorphism (it essentially says we only need to check relations for one of  $F$  or  $E$ )  $L(2)^\vee \xrightarrow{\sim} L(2)$  given by  $v_2^* \mapsto 2v_2, v_1^* \mapsto v_1, v_0^* \mapsto 2v_0$ <sup>3</sup>.

### Theorem 3

Let  $\lambda, \mu \in \mathfrak{h}^*$ . Then

- (a) The dual Verma module  $\nabla(\lambda) := M(\lambda)^\vee$  has  $L(\lambda)$  as its unique simple submodule and its other composition factors  $L(\mu)$  satisfy  $\mu < \lambda$ .
- (b) If  $M$  is a h.w. module of weight  $\lambda$ , then  $M^\vee$  is also a highest weight module of weight  $\lambda$ .
- (c) Any nonzero homomorphism  $M(\lambda) \rightarrow M(\lambda)^\vee$  has the simple submodule  $L(\lambda)$  as its image. Moreover we have

$$\dim \operatorname{Hom}_{\mathcal{O}}(M(\lambda), M(\lambda)^\vee) = 1, \quad \dim \operatorname{Hom}_{\mathcal{O}}(M(\mu), M(\lambda)^\vee) = 0 \quad \text{for } \mu \neq \lambda$$

- (d)  $\operatorname{Ext}_{\mathcal{O}}^1(M(\lambda), M(\mu)^\vee) = 0$  for all  $\lambda, \mu$ .

*Proof.* (a) is just the dual of the fact that a h.w. module has a unique maximal submodule and thus unique maximal quotient. The second statement follows from the fact that the composition factors of  $M^\vee$  is the same as of  $M$  as proved in previous theorem.

<sup>3</sup>In general for  $L(n)$  the formula will be  $\Phi(v_0^*) = nv_0$  and  $\Phi(v_k^*) = \frac{k!}{(n-1)\dots(n-k+1)}v_k$  for  $k \geq 1$ .

(b) Left to the reader. (c) The image  $I$ , of a nonzero morphism  $M(\mu) \rightarrow M(\lambda)^\vee$  is a nonzero submodule of  $M(\lambda)^\vee$  of h.w.  $\mu$ .  $I$  therefore contains a simple submodule but by (a) it follows that  $L(\lambda) \hookrightarrow I$  and thus  $\lambda \leq \mu$ . On the other hand, as  $I$  is a h.w. module of weight  $\mu$  it has  $L(\mu)$  as a quotient and since  $I \hookrightarrow M(\lambda)^\vee$  this shows that  $L(\mu)$  appears as a composition factor of  $M(\lambda)^\vee$  and so again by (a) we have that  $\mu \leq \lambda \implies \mu = \lambda$ . In this case, we see that

$$M(\lambda) \twoheadrightarrow L(\lambda) \hookrightarrow M(\lambda)^\vee \quad (1)$$

gives us a nonzero morphism, and since  $\dim M(\lambda)_\lambda^\vee = \dim M(\lambda)_\lambda = 1$ , it follows that up to scalar this is the only nonzero morphism.

(d) If  $\lambda \geq \mu$  or incomparable, then since  $M(\mu)^\vee$  is a h.w. module of weight  $\mu$  by (b), by [Proposition 1](#) we have that  $\text{Ext}_{\mathcal{O}}^1(M(\lambda), M(\mu)^\vee) = 0$ . Otherwise we must have  $\mu \geq \lambda$  but in this case for any SES

$$0 \rightarrow M(\mu)^\vee \rightarrow M \rightarrow M(\lambda) \rightarrow 0$$

corresponding to an element in  $\text{Ext}_{\mathcal{O}}^1(M(\lambda), M(\mu)^\vee)$ , we can dualize to obtain

$$0 \rightarrow M(\lambda)^\vee \rightarrow M^\vee \rightarrow M(\mu) \rightarrow 0$$

which corresponds to an element of  $\text{Ext}_{\mathcal{O}}^1(M(\mu), M(\lambda)^\vee) = 0$  as  $\mu \geq \lambda$ . Dualize again and use that BGG dual commutes with direct sums.

**Remark.** [Eq. \(1\)](#) exhibits  $L(\lambda)$  as an ‘‘intermediate extension’’ in geometry. Moreover parts (b) and (c) can be thought of geometrically as the statement that  $j_\lambda^*(j_\mu)! = 0$  for  $\lambda \neq \mu$ .

### 3 Standard Filtrations

**Definition 3.1.** *An object  $M \in \mathcal{O}$  has a standard filtration if there is a sequence of submodules*

$$0 \subset M_1 \subset \dots \subset M_n = M$$

*s.t.  $M_i/M_{i-1}$  is isomorphic to a Verma module. Denote by  $(M : M(\lambda))$  the number of times  $M(\lambda)$  appears*

**Remark.** Note that because  $\mathcal{O}$  is of finite length, any standard filtration must be finite or otherwise you can construct a composition series of infinite length.

**Proposition 3.2.** *Suppose  $M \in \mathcal{O}$  has a standard filtration. Then*

- (a) *Suppose  $\lambda$  is a maximal weight<sup>4</sup> then  $M$  has a submodule isomorphic to  $M(\lambda)$  and  $M/M(\lambda)$  has a standard filtration.*
- (b) *If  $M = M_1 \oplus M_2$  then  $M_1, M_2$  also have standard filtrations.*
- (c)  *$M$  is free as a  $U(\mathfrak{n}^-)$  module. (Analogue of PBW basis in  $M(\lambda)$ )*

<sup>4</sup>This means that  $\exists \mu \in P(M)$  s.t.  $\mu > \lambda$ . This will imply that all vectors in  $M_\lambda$  are h.w. vectors, but the converse isn't true. For  $\mathfrak{g} = \mathfrak{sl}_2$ , consider  $M = M(2) \oplus M(0)$ . Then notice  $M_0$  is two dimensional and contains a h.w. vector. However, since  $M_2 \neq 0$ , 0 is not a maximal weight. On the other hand 2 is a maximal weight. Also note that  $\lambda$  being a maximal weight is also not the same as  $M_\lambda$  consisting of only highest weight vectors, consider  $M = L(1) \oplus M(-3)$ , we have that  $M_{-3}$  only has h.w. vectors but  $-3$  is not maximal.

*Proof.* (a) Let  $m_\lambda$  be any vector in  $M_\lambda$ . Because  $e_\alpha \cdot m_\lambda \in M_{\lambda+\alpha} = 0$  since  $\lambda$  is a maximal weight of  $M$ , it follows that  $m_\lambda$  is a h.w. vector of weight  $\lambda$ . By the universal property of  $M(\lambda)$ , we have a map  $\varphi : M(\lambda) \rightarrow M$ . We claim it's injective. Because  $M$  has a standard filtration, let  $i$  be the smallest index for which  $\varphi(M(\lambda)) \subset M_i$ . Thus we see that the reduction map

$$\bar{\varphi} : M(\lambda) \xrightarrow{\varphi} M_i \xrightarrow{\pi} M_i/M_{i-1}$$

is nonzero. But by definition  $M_i/M_{i-1} \cong M(\mu)$  for some  $\mu$ . Thus  $\lambda \leq \mu$  or otherwise there's nowhere for the h.w. vector of  $M(\lambda)$  to go. But because  $\lambda$  is a maximal weight of  $M$  and thus of  $M_i$ , we must actually have  $\lambda = \mu$ . [Draw picture] Since any nonzero endomorphism of  $M(\lambda)$  must send the h.w. vector to the h.w. vector  $\bar{\varphi}$  must be an isomorphism and thus  $\varphi$  is injective.

Notice that  $M_{i-1} \cap M(\lambda) = 0$  as given  $x \in M_{i-1} \cap M(\lambda)$ , we see that  $\pi \circ \varphi(x) = 0 \implies x = 0$  as  $\bar{\varphi}$  is an isomorphism. As a result  $M_{i-1} \rightarrow M/M(\lambda)$  is injective (as the kernel is  $M_{i-1} \cap M(\lambda)$ ) and by the Third isomorphism Theorem we have the exact sequence

$$0 \rightarrow M_{i-1} \rightarrow M/M(\lambda) \rightarrow M/M_i \rightarrow 0$$

The side factors have standard filtrations and thus they combine to give a standard filtration for  $M/M(\lambda)$ .

(b) Sketch: Use induction on standard filtration length and wlog one can find  $M(\lambda)$  inside  $M$  by part (a) s.t.

$$M/M(\lambda) = M_1 / M(\lambda) \oplus M_2$$

Part (a) tells us that  $M/M(\lambda)$  has a standard filtration and so by induction we conclude that  $M_2$  has a standard filtration and  $M_1 / M(\lambda)$  has a standard filtration ( $\implies M_1$  has a standard filtration).

(c). Proceed by induction on standard filtration length. The base case is true because  $M(\lambda)$  has basis  $F_1^{e_1} \dots F_k^{e_k} \otimes 1$  by PBW which of course is a  $U(\mathfrak{n}^-)$  basis. For the induction step by part (a) we can find a submodule  $M(\lambda) \hookrightarrow M$

$$0 \rightarrow M(\lambda) \rightarrow M \rightarrow M / M(\lambda) \rightarrow 0$$

s.t.  $M / M(\lambda)$  has a standard filtration. By induction it follows that  $M / M(\lambda)$  is a free  $U(\mathfrak{n}^-)$  module. But since free  $U(\mathfrak{n}^-)$  modules are projective, it follows that the above sequence splits as  $U(\mathfrak{n}^-)$  modules and thus we have

$$M \xrightarrow{U(\mathfrak{n}^-) \text{ mod}} M(\lambda) \oplus \frac{M}{M(\lambda)}$$

But both summands on the RHS are free  $U(\mathfrak{n}^-)$  modules and thus so is  $M$ .

**Remark.** Part (b) above is actually deeper than you think. Given a standard filtration  $\{M_i\}_{i \in I}$  for  $M$  and  $N \subset M$  a submodule,  $\{N \cap M_i\}_{i \in I}$  is not necessarily a standard filtration for  $N$  as

$$\frac{M_i \cap N}{M_{i-1} \cap N} \cong \text{submodule of } \frac{M_i}{M_{i-1}} = M(\mu)$$

by the second isomorphism theorem. Thus if all submodules of Verma modules are also Verma modules, then  $\{N \cap M_i\}_{i \in I}$  would give us a standard filtration of  $N$ . But this isn't true once you are in rank 2 or higher, e.g.  $\mathfrak{sl}_3$ . So arbitrary submodules of modules with a standard filtration need not have a standard filtration.

**Theorem 4**

Suppose  $M$  has a standard filtration, then for all  $\lambda \in \mathfrak{h}^*$ , we have

$$(M : M(\lambda)) = \dim \operatorname{Hom}_{\mathcal{O}}(M, M(\lambda)^\vee)$$

*Proof.* You guessed it, we will proceed by induction on the standard filtration length of  $M$ . For the base case we clearly have that  $(M(\mu) : M(\lambda)) = \delta_{\lambda\mu}$  while by [Theorem 3](#) we have that  $\dim \operatorname{Hom}_{\mathcal{O}}(M(\mu), M(\lambda)^\vee) = \delta_{\lambda\mu}$  so they agree. For the induction step, since  $M$  has a standard filtration, we have an exact sequence  $0 \rightarrow N \rightarrow M \rightarrow M(\mu) \rightarrow 0$  where  $N$  also has a standard filtration, for some  $\mu \in \mathfrak{h}^*$  and thus a LES in cohomology

$$0 \rightarrow \operatorname{Hom}_{\mathcal{O}}(M(\mu), M(\lambda)^\vee) \rightarrow \operatorname{Hom}_{\mathcal{O}}(M, M(\lambda)^\vee) \rightarrow \operatorname{Hom}_{\mathcal{O}}(N, M(\lambda)^\vee) \rightarrow \operatorname{Ext}_{\mathcal{O}}^1(M(\mu), M(\lambda)^\vee)$$

The last term is zero by [Theorem 3](#) and since  $N$  has a standard filtration by definition, we see that by induction

$$\begin{aligned} \dim \operatorname{Hom}_{\mathcal{O}}(M, M(\lambda)^\vee) &= \dim \operatorname{Hom}_{\mathcal{O}}(N, M(\lambda)^\vee) + \dim \operatorname{Hom}_{\mathcal{O}}(M(\mu), M(\lambda)^\vee) \\ &= (N : M(\lambda)) + \delta_{\lambda\mu} \end{aligned}$$

But the last term above is literally  $(M : M(\lambda))$  by the exact sequence  $0 \rightarrow N \rightarrow M \rightarrow M(\mu) \rightarrow 0$  and this completes the induction step.

**4 Refined Composition Factors of  $M(\lambda)$** **Question 1**

For a fixed weight  $\lambda$ , what are conditions on  $\mu$  s.t.  $L(\mu)$  appears a composition factor of  $M(\lambda)$ ?

We can give some necessary conditions to the question above. Namely, suppose we have a composition series of  $M(\lambda)$  where  $M_k/M_{k-1} \cong L(\mu)$ . As central characters descend to submodules and quotients, it follows that  $\chi_\lambda = \chi_\mu$  and by Harish-Chandra this means that  $\mu = w \star \lambda$ . Moreover since  $M_k \in \mathcal{O}$ , we know by the same trick as in [Proposition 1](#) that a lift of the h.w. vector  $v_\mu \in L(\mu)$  must be in  $(M_k)_\mu \subseteq M(\lambda)_\mu$  and thus  $\mu$  is a weight of  $M(\lambda)$ . But this means that  $\mu \leq \lambda$ .

However it turns out we can give a more refined condition. For each  $\lambda \in \mathfrak{h}^*$  we will define a subgroup  $W_{[\lambda]}$  of the Weyl group as follows.

**Definition 4.1.** For any  $\lambda \in \mathfrak{h}^*$ , let

$$W_{[\lambda]} = \{w \in W \mid w\lambda - \lambda \in R\} \quad \Phi_{[\lambda]} = \{\beta \in \Phi \mid \langle \lambda, \beta^\vee \rangle \in \mathbb{Z}\}$$

where  $R$  is the root lattice.

**Remark.** Notice  $\lambda \in \Lambda$  the weight lattice  $\iff W_{[\lambda]} = W$  and  $\Phi_{[\lambda]} = \Phi$ . Indeed if  $\lambda \in \Lambda$ , then

$$s_\alpha(\lambda) - \lambda = \lambda - \langle \lambda, \alpha^\vee \rangle \alpha - \lambda = \langle \lambda, \alpha^\vee \rangle \alpha \in R \quad \forall \text{ simple } \alpha$$

and  $s_\alpha$  generates  $W$ . To show  $\Phi_{[\lambda]} = \Phi$  (aka  $\langle \lambda, \alpha^\vee \rangle \in \mathbb{Z}$  for all simple roots  $\alpha$  actually implies  $\langle \lambda, \beta^\vee \rangle$  for all roots  $\beta$ ) requires a bit more work. Hint: Show  $(s_\alpha(\beta))^\vee = s_\alpha(\beta^\vee)$  for any two roots  $\alpha, \beta$ .

Thus, when  $\lambda \notin \Lambda$  we see that  $W_{[\lambda]}, \Phi_{[\lambda]}$  will be proper subsets of  $W, \Phi$ .



**Remark.** Check that  $w\rho - \rho \in R$  for any  $w \in W$ . Hint:  $w$  will send some positive roots to negative roots. But  $\rho$  is the half sum of all positive roots. In addition, check  $\langle \rho, \alpha_i^\vee \rangle = 1$  for all simple roots  $\alpha_i$  and thus  $\rho \in \Lambda$ . Hint: Compute  $s_{\alpha_i}(\rho)$ . As a result it follows that we can rephrase our definitions above as

$$W_{[\lambda]} = \{w \in W \mid w \star \lambda - \lambda \in R\} \quad \Phi_{[\lambda]} = \{\beta \in \Phi \mid \langle \lambda + \rho, \beta^\vee \rangle \in \mathbb{Z}\}$$

**Claim:** A necessary condition for  $L(\mu)$  to appear as a composition factor of  $M(\lambda)$  is

$$\mu \leq \lambda \text{ and } \mu = w \star \lambda \text{ for some } \underline{w \in W_{[\lambda]}}$$

*Proof.* This is actually just combining our two previous conditions from before ( $\mu \leq \lambda$  and  $\mu = w \star \lambda$  for  $w \in W$ ). Note  $\mu \leq \lambda$  means  $\mu - \lambda \in R^- \subset R$  and thus our combined necessary condition is that  $w \star \lambda - \lambda \in R$ . But this is exactly the statement that  $w \in W_{[\lambda]}$  by the remark above.

**Theorem 4.2.** Let  $\lambda \in \mathfrak{h}^*$ . Then

(a)  $\Phi_{[\lambda]}$  is a root system in its  $\mathbb{R}$ -span.

(b)  $W_{[\lambda]}$  is the Weyl group of the root system  $\Phi_{[\lambda]}$ . In particular it is generated by the reflections  $s_\alpha$  where  $\alpha \in \Phi_{[\lambda]}$ .

**Example 3.** Consider  $\mathfrak{g} = \mathfrak{sl}_3$  and let  $\omega_1, \omega_2$  be the fundamental weights and let  $\lambda = -0.5\omega_1 - 0.5\omega_2$ .

[Draw picture]

Clearly  $\lambda \notin \Lambda$ . Note it's easier to compute  $\Phi_{[\lambda]}$  first and then use the theorem above to compute  $W_{[\lambda]}$ . When testing for integrality ( $\langle \lambda, \beta^\vee \rangle \in \mathbb{Z}$ ?) we can restrict our attention to the positive roots as the answer for the negative roots is the same as for the positive one.  $A_2$  has 3 positive roots  $\alpha_1, \alpha_2, \alpha_1 + \alpha_2$ . By definition we have that

$$\langle \lambda, \alpha_i^\vee \rangle = -0.5 \notin \mathbb{Z} \quad i = 1, 2$$

So we just need to compute

$$\langle -0.5\omega_1 - 0.5\omega_2, (\alpha_1 + \alpha_2)^\vee \rangle$$

Explicitly we have  $\alpha_1 = \epsilon_1 - \epsilon_2$  and  $\alpha_2 = \epsilon_2 - \epsilon_3$  so  $\alpha_1 + \alpha_2 = \epsilon_1 - \epsilon_3$ , and thus

$$(\alpha_1 + \alpha_2)^\vee = \frac{2(\epsilon_1 - \epsilon_3)}{\langle \epsilon_1 - \epsilon_3, \epsilon_1 - \epsilon_3 \rangle} = \frac{2(\epsilon_1 - \epsilon_3)}{2} = \epsilon_1 - \epsilon_3 = \alpha_1 + \alpha_2$$

And nearly the same computation shows that  $\alpha_i^\vee = \alpha_i$  and thus we see that

$$\langle -0.5\omega_1 - 0.5\omega_2, (\alpha_1 + \alpha_2)^\vee \rangle = \langle -0.5\omega_1 - 0.5\omega_2, \alpha_1^\vee + \alpha_2^\vee \rangle = -1 \in \mathbb{Z}$$

Thus we see that  $\Phi_{-0.5\omega_1 - 0.5\omega_2} = \{\alpha_1 + \alpha_2, -(\alpha_1 + \alpha_2)\}$  which is isomorphic to  $A_1$  and thus  $W_{-0.5\omega_1 - 0.5\omega_2} = \mathbb{Z}/2\mathbb{Z}$  (in fact is generated by  $s_{\alpha_1}s_{\alpha_2}s_{\alpha_1}$  in  $W = S_3$ ). By our claim above, this means that  $M(-0.5\omega_1 - 0.5\omega_2)$  can only have up to two different composition factors instead of up to 6.

## 5 $\rho$ -Antidominant Weights

**Definition 5.1.** A weight  $\lambda \in \mathfrak{h}^*$  is called  $\rho$ -antidominant if

$$\langle \lambda + \rho, \beta^\vee \rangle \notin \mathbb{Z}^{>0} \quad \forall \beta \in \Phi^+$$

Similarly we say that a weight  $\lambda \in \mathfrak{h}^*$  is  $\rho$ -dominant if

$$\langle \lambda + \rho, \beta^\vee \rangle \notin \mathbb{Z}^{<0} \quad \forall \beta \in \Phi^+$$

**Warning.**  $\rho$ -antidominant is not the same as an antidominant weight in the usual sense nor is it the same as a  $\rho$ -shifted antidominant weight (aka  $X^- - \rho$ ). [Draw picture] However  $X^- - \rho \subset \rho$ -antidominant weights as given  $\lambda \in X^-$  we have

$$\langle \lambda - \rho + \rho, \beta^\vee \rangle = \langle \lambda, \beta^\vee \rangle \leq 0$$

$\rho$ -dominant is not the same as a dominant weight in the usual sense nor is it the same as a  $\rho$ -shifted dominant weight (aka  $X^+ - \rho$ ). However  $X^+ - \rho \subset \rho$ -dominant weights.

**Example 4.** Let us find all  $\rho$ -antidominant weights of  $\mathfrak{sl}_2$ . Here there is only one positive root  $\alpha = 2$  and thus  $\alpha^\vee = 1$ . Note that  $\rho = 1$  also and thus

$$\lambda \text{ is } \rho\text{-antidominant} \iff \langle \lambda + 1, 1 \rangle = \lambda + 1 \notin \mathbb{Z}^{>0} \iff \lambda \neq 0, 1, 2, \dots$$

And similarly, we have

$$\lambda \text{ is } \rho\text{-dominant} \iff \lambda \neq -2, -3, \dots$$

Notice that these two sets are not disjoint,  $-1 = -\rho$  is in both of them as well as all irrational numbers.

**Example 5.** Check that for  $\mathfrak{g} = \mathfrak{sl}_3$  the weight  $-0.5\omega_1 - 0.5\omega_2$  is not antidominant.

**Proposition 5.2.**

$$\begin{aligned} \lambda \text{ is } \rho\text{-antidominant} &\iff \lambda \leq w \star \lambda \quad \forall w \in W_{[\lambda]} \\ \lambda \text{ is } \rho\text{-dominant} &\iff \lambda \geq w \star \lambda \quad \forall w \in W_{[\lambda]} \end{aligned}$$

### Corollary 5

$\exists!$   $\rho$ -antidominant weight in the orbit  $W_{[\lambda]} \star \lambda$ . Likewise  $\exists!$   $\rho$ -dominant weight in the orbit  $W_{[\lambda]} \star \lambda$ .

*Proof.* We just prove it for  $\rho$ -antidominant as  $\rho$ -dominant is very similar. We first show the existence of a  $\rho$ -antidominant weight in the orbit  $W_{[\lambda]} \star \lambda$ . Let  $\mu$  be any weight in  $W_{[\lambda]} \star \lambda$  that is minimal with respect to standard partial ordering. We claim  $\mu$  is antidominant. Otherwise  $\exists \beta \in \Phi^+$  s.t.  $\langle \mu + \rho, \beta^\vee \rangle \in \mathbb{Z}^{>0}$ . By our rephrased definitions we see that this means  $\beta \in \Phi_{[\lambda]}$  and thus  $s_\beta \in W_{[\lambda]}$ . But now

$$s_\beta \star \mu - \mu = s_\beta(\mu + \rho) - \rho - \mu = \mu + \rho - \langle \mu + \rho, \beta^\vee \rangle \beta - \rho - \mu = -\langle \mu + \rho, \beta^\vee \rangle \beta \in R^-$$

But this exactly means that  $s_\beta \star \mu < \mu$  contradicting our assumption that  $\mu$  was minimal in  $W_{[\lambda]} \star \lambda$ .

Uniqueness follows immediately from the Proposition above.

## 6 Projectives in $\mathcal{O}$

**Proposition 6.1.** (a) Suppose  $\lambda \in \mathfrak{h}^*$  is  $\rho$ -dominant. Then  $M(\lambda)$  is projective in  $\mathcal{O}$ .

(b) If  $P \in \mathcal{O}$  is projective and  $\dim L < \infty$ , then  $P \otimes L$  is also projective in  $\mathcal{O}$ .

*Proof.* We want to construct a lift  $\psi$  of the following diagram of modules in  $\mathcal{O}$

$$\begin{array}{ccccc} & & M(\lambda) & & \\ & \nearrow \psi & \downarrow \varphi & & \\ M & \xrightarrow{\pi} & N & \longrightarrow & 0 \end{array}$$

(a) Let  $v_\lambda$  be the h.w. vector of  $M(\lambda)$ . Then  $\varphi(v_\lambda)$  is a h.w. vector of weight  $\lambda$  in  $N$ . Again as  $M \in \mathcal{O}$  and  $\pi$  is surjective, the same trick as in [Proposition 1](#) shows that  $v = \pi^{-1}(\varphi(v_\lambda)) \in M_\lambda$ . Consider the submodule  $U(\mathfrak{n}^+)v$ . It is finite-dimensional as  $M \in \mathcal{O}$ . However since  $v$  is a weight vector, the action of all elements of  $U(\mathfrak{n}^+)$  raises the weight and so to be f.d, we must have that there exists a h.w. vector say  $v_\mu$  of weight  $\mu \geq \lambda$  in  $U(\mathfrak{n}^+)v$  and thus in  $M$ . This means we have a highest weight module  $S$  of weight  $\mu$  occurring as a submodule of  $M$  that contains  $v$ . [Draw picture] We therefore have the following exact sequence

$$0 \rightarrow \ker \pi|_S \rightarrow S \xrightarrow{\pi|_S} \text{im } \varphi \rightarrow 0$$

Therefore any composition factor of  $\text{im } \varphi$  appears as a composition factor of  $S$ . But  $\text{im } \varphi$  being the surjective image of a h.w. module of weight  $\lambda$  is also a h.w. module of weight  $\lambda$  and therefore  $L(\lambda)$  is a composition factor of  $\text{im } \varphi$  and thus of  $S$ . But  $S$  is h.w. of weight  $\mu$  and therefore a quotient of  $M(\mu)$  and thus  $L(\lambda)$  is a composition factor of  $M(\mu)$  as well. But we know from before that a necessary condition is that  $\lambda = w \star \mu \iff \mu = w^{-1} \star \lambda$  for some  $w \in W_{[\lambda]}$ . But  $\lambda$  is  $\rho$ -dominant and by [Proposition 5.2](#) we see that this means  $\mu \leq \lambda$  and thus  $\mu = \lambda$ . But this exactly means  $\mathfrak{n}^+v = 0$  or in other words  $v$  is a h.w. vector of weight  $\lambda$  in  $M$  and this is exactly what gives us the lift  $\psi : M(\lambda) \rightarrow M$  above.

(b) Left to reader, note that since  $\dim L < \infty$ , we know  $P \otimes L$  is in  $\mathcal{O}$ . Hint: Use Tensor-Hom and note that the inclusion functor  $\iota : \mathcal{O} \hookrightarrow U(\mathfrak{g})\text{-mod}$  is fully faithful.

**Remark.** Notice that as a special case of part (a) above we can re derive [Proposition 1](#) part (a), aka  $\text{Ext}^1(M(\lambda), M) = 0$  if  $M$  is h.w. of weight  $\mu$  where  $\mu \leq \lambda$ . This also means that for general  $\lambda$ ,  $M(\lambda)$  is projective in the Serre subcategory generated by  $L(\mu)$  where  $\mu \leq \lambda$ .

**Remark.**  $M(\lambda)$  is not projective as a  $U(\mathfrak{g})$  module, indeed our example above with  $M \notin \mathcal{O}$  gives us a SES of  $U(\mathfrak{sl}_2)$  modules that is not split with  $M(\lambda)$  occurring as the quotient and thus can't be projective.

**Remark.** As a clarification, note that

$$\text{Any block of Category } \mathcal{O} \subseteq \mathcal{O}_{\chi_\lambda}$$

as  $\text{Ext}_{\mathcal{O}}^i(A, B) = 0$  for  $A \in \mathcal{O}_{\chi_\lambda}, B \in \mathcal{O}_{\chi_\mu}$  because the central character acts differently on  $A, B$ . We now give an example where this is a proper inclusion, aka  $\mathcal{O}_{\chi_\lambda}$  will have more than one block in it.

Let  $\mathfrak{g} = \mathfrak{sl}_2$  and let  $\lambda \notin \mathbb{Q}$ . Check that for  $\mathfrak{g} = \mathfrak{sl}_2$ , that  $M(\lambda)$  is simple  $\iff \lambda \notin \mathbb{Z}^{\geq 0}$ . Therefore  $M(\lambda), M(s \star \lambda) = M(-\lambda - 2)$  are both simple and thus  $\text{Hom}_{\mathcal{O}}(M(\lambda), M(-\lambda - 2)) = 0$ . But from above we know that  $\lambda$  is also  $\rho$ -dominant and thus  $M(\lambda)$  is projective and therefore

$$\text{Ext}_{\mathcal{O}}^i(M(\lambda), M(-\lambda - 2)) = 0 \quad \forall i \geq 1$$

So even though  $M(\lambda)$  and  $M(-\lambda - 2)$  are in the same linkage class, they are not in the same block!